

Reporting and Addressing Illegal Activity and Misconduct

Scope: Who is Covered by this Policy?

(PSOR\HHV DQG 6WXGHQWV

Policy

General

0LDPL 8QLYHUVLW\ ERGRPWVWJHGWRDIQGLUQ BFWKRFGDQFH IHGHUDO DQG VWDWLRQDZVDZBOUCHJXODL YIDFKLPHSEHU RIDFXOW\ DQG QWVKLVKDUHSRQVLELWLW\

7KH 8QLYHUVLW\ LVFRPWLWJHGWRERQVHFWOQZDQRGSDQLY SROLF\ 7KHVH YLRQDUHLRQOWP RWRP RWRQ RI QDGR HBDWHQFH PLVWDNH 2Q UDUHVLRFFOW LRUHVWYKLR QMMXPLWFRIGGHXOMEHUO XQHVKLFDORU QDWSHURZSLMLDWH EHKDDIPRUSQQYHLRQDWLRSR LVQRW DFFHSWDEOH

7KH 8QLYHUVLW\ HQSHFDQGDVODIDFXHFUMISBFWLDPQDQVDFWL ODZ HQIRUFHPHQW UIKRKHVXQSDZQWGRJHWRNIEWLFRFDXQDLQJF 8QLYHUVLW\ SURSHUW\ RU LQYROWLXGHQWYHFDQW\ WDKFXQW 8QLYHUVLW\ 3ROWFBWHSDUWQHQQ LHPHUSQHQDFVH GLDO LPPHGLDWHO\

2KLR ~~Old~~ Revised Code § 2921.22 UHTXLUHV HYHU\ SHUVRQ ZKR NQ IHORQ\ KDV EHHQ RUWLWHEGLQJRFRPSRPHPEHVVWR) DLDZXHQIR

NQRZOHGJH RI KD]LRQJFWRHDQ W DDZJ HQF\ FVQLZPKRFK DMJKEJYUHV L
RU LQ ZKLFK WKH KD]LRQJFWRHDQ RFFFXUWUHGSR)UWOLXUBI FURLPLQD
RIIHQVH ,I\RX KDYH KD]LRQJFWRHDQ V KRXO W RW WKH SRUWH RI
&RPPXQLW\ 6WDQGDUG&RFRXQ2IMLFHWBQGBUSW RASRKHUWHHS
ZLOO QRWLI\ WKHHDSBURISPHQWHDDBQWK WRKFRPSZ\ ZL

2KLR ~~Old~~ Revised Code §2151.421 IXUWKHU UHTXLUHV WHDFKHUV V
DGPLQLVWUDWRUV WSHSFKF&BWKRLORRWKGRJFWWRBUBQBUW
VXVSHFWHG FKLOG DFKLHG DEXSHIFMDHGEHFUWOSRW RHWKIBLSRO
%XWOHU &RXQWL&KLVODGWHQ 6HUY 5HSRUW WKLOG \$EXVH

7KLV SURFHGXUH KDV VERI HQRYLYGHDBSSUDIE MAKVUIRSRJRVRLOJ R
YLRODWLRQV RIRQDZRRURWKJXOZLWH DQDSSULQSULBWDIWEHRQ F
8QLYHUVLVW\TV SROLFLHV

Internal Reporting

(PSOR\HHV DQGDVWXHQSIFWVRIGWVRRBB IDLVWKE RQWFHOHJD
XQHWKLFDO HRUQRDISHURZLMLDWH EHKDDIPRUSQQYHLRQDWLRSR
&ULPLQDO DFWLYLWYRFBXWUISQRBQ880A YHU VQWR OYEQOW\ V
VWXGHQWV VKRXOG EHDPHSRQLW HG VW WUWRHQLW HDVMSD
LQ DQ HPHUJHQF\ SOTKLWHLQIEDXGHV\KIDQLRJVFBGGXFW

(PSOR\HHV DUH HQFRXDVHOG WRSRPFMFRK MWLFOQFJHDQXQH
RU LQDSSURSULDWHVESHKDYLRRUW RFWKHHSRQDQEROHLIRU
DGGUHVVLQJ WKHVIFKFRQFHEWQVZVHWKHHSSSHURSWHQDWHRVLW
3UHVLGHQW RI WVKBQWYU'DUW\HGFRVKKHDLJG RQRFHUHSRWR
'HDQ RI 6WXGHQWV LQKQWLRH 3WKGHIQWUSDODRUIVFMKHHVSR
IRU DGGUHVVLQJ WKHVIFKFBQFEHQVZ WRURWVKHBSQVYGHQLW

Anonymous Reporting

3HUVRQV ZKR GR QRW FDHQFRDQULVDEHQRDNDHLDHSRUW P
DQRQ\PRXV UHSRUWWVRWFRBIBGHYHUQWQ VWBFBWRQLQDŠE
FDOOLQJ WKH WROO IUHH +27R,(LQ ZWKWEQ3RWO LQH FDOO
DUH QRW UHFRUGHG VW WVKLFBBLQWŠHQWGLRIGSDUHWXVHUY
,3 DGGUHVHV DUH, QWUUSSHNGEIDVRPG FRIPXQLFDMKDRQV WR
DQRQ\PLW\ LMGPD'2Q12DLWHSRHUJHQFLHVQWŠ (WKLFV3RL

, Q D G G L W L R Q W K H P \$ X L Q W D R L Q R I D 6 W D R W H P R I R W U W H I S H R W D Q L Q J R I
I U D X G 7 K H I U D X G P D L D E I D W H R S R O U W U H G H W H O H S I \$ B Q 2 + Q X P E
W K \$ K G L W R U R I 6 W I R W H E V P Z H L E O / L W H

Investigation and Resolution

\$ O O H P S O R \ X K G W D Q G D W H F I S R I S F M U H G W W L R Q U A K W H K B X Q L O Y H U V L W \ T
L Q Y H V W L J D W L R Q R I L D M I S B O W V H \$ S S W R S R S L F D D D V G Z U 0 V D O C
F R Q F H U Q V U H S R U W B I S H L Q K D R U V G B B D W R K W L \$ Q O Y Z Q 0 0 V E H L F R Q G
D F F R U G D Q F H O Z Z W D K Q W K H S S O L F D E O H 8 Q L Y H U V L W \ S R O L F \

Retaliation Prohibited

7 K H 8 Q L Y H U V L W \ Z I L O R O U W V H W L R W S U E R H W O F J N R R V K R D Y H W Z K R U H S R U W
V X V S H F W H G L O O H J R D V O K H U Z H W K L L F O D S S U R S U Y L D R V O H E V H L R O Y R R O L
S R O L F L H V 1 R H P S O R D E M H Z L L O H H P X S O H R \ W B Q W D W F L W R Q R O V U D H U H
D Q \ R I W K H I R O O R Z L Q J

' L V F O R V X U H R U X V S B R W W G Q L O R O I H V D V K H X I Q E H W K I L Q D D S S U R S U
E H K D Y L R U L Q Y L R O D W L R Q R I O L D P L S R O L F L H V R U

5 H I X V D O W R Y W R O Q W H L R O U D W L V Q L V D O O D S S O V W D E V O H C E I Z H R
U H J X O D W L R Q R U

5 H I X V D O W R Z R K N U R U W F D Z R H R W Q R R O G L X Q R Q D V R Q D W O
W K U H D W H Q W K H K I H D O M K I R S O R D H I H R U R R W K H U V

\$ Q \ H P S O R \ H H Z K R E M O H H K Y D W E K H H R O U H W L D O Y D R V O H G W D J R O L Q V W
S R O L F \ P D \ I L O H D Z U L W Office of the President Q Y R Z O W Z L V K H D Q
L Q Y H V W L J D Office of the President D Z U L W W H Q U Q S H R V W L J D W L K Y H I L Q
Z L O O E H P D R President of the President's designated investigator 7 K H U H S R U W
V K D O O E H S U R Y L G H I G Q W S D V K W H D R O F S O V K R Q & K G L R I R U X W K W H H V T
) L Q D Q F H D Q G \$ X G M H W & R I P W K H U H S R U W D L I Q Q Q W W K D V E H W K O H F R
U H W D O L D W H G D J Z L Q O W L Q W O X I G U H S B L U H V S S U R S K I H D F V R H P S O H D L Q D
\$ S S U R S U L D W H G L V F X L S O W R Q D Q G D L Q W F O R Z O L O O J E G L W F D L N H V O O D J D L Q
L Q G L Y L G X D O Z K R Y L R H O W D V L R Q W R I V W L Q L V S R O L F \

Reporting Concerns

, I D I W H U U H Y L H Z R X J D W K L Q R W D E X U H W Z Ø H F U R Q W H R U Q H S Ø R H D V H F

3HUVRRQQHO 0LVFRQGXFV LQF
7KUHDWV 7LPDQSEXP\SHOR\HH %
\$EXVHV

~~'LUHFWRU\$VVRFB\$DGH93 F~~

3HUVR~~00H0LFHV~~___ RU

~~6HQLRU 'LUHFWRU RI\$VVRFLDWH 93~~

+XPDQ 5HVRXU~~FHV~~

5HVHDUFK 0LVFRQGXFV &RQIOLFW RI
,QWHUHVW (QYBDRPHQDWDHUV)UDXG
0LVDSSURSULDWLRQ RI ,QWHOOHFWXDQ 3URSHUW\

Related Form(s)

1RW \$SSOLFDEOH

Additional Resources and Procedures

1RW \$SSOLFDEOH

FAQ

1RW \$SSOLFDEOH

Policy Administration

Next Review Date

Responsible Officers

\$VVRFLDWH 9HGW 3RUH VXP DQ 5HVRXUFHV

\$VVLVWDQW 3URYRVW IRU \$FDGHPLF 3HUVRQQHO

3URYRVW DQG ([HFWLQJ HQWLFHUB \$FDGHPLF \$IIDLUV

6HQLRU 9LFH 3UEHQGHQW GRV XVLQHV 6HUFLFHV

Legal Authority

1RW \$SSOLFDEOH

Compliance Policy

<HV

Revision History

(GLWHG -XO\ -XO\GLWHG PHQG HG 2FW WREHG -XO\

Reference ID(s)

083,0

2\$&

Reviewing Bodies

\$VVRFLDWH 9LQW 3RUW LXP DQ 5HVRXUFHV

\$VVLVWDQW 3URYRVW IRU \$FDGHPLF 3HUVRQQHO

3URYRVW DQG ([HFWLQJ HQWLFRRU \$FDGHPLF \$IIDLUV

6HQLRU 9LFH 3UHQWLFHQW GRV XVLQHVV 6HUYLEFHV